

**CALIFORNIA PUBLIC UTILITIES COMMISSION  
DIVISION OF WATER AND AUDITS**

**Advice Letter Cover Sheet**

**Utility Name:** California American Water      **Date Mailed to Service List:** January 27, 2016  
**District:** Larkfield District  
**CPUC Utility #:** U210W      **Protest Deadline (20<sup>th</sup> Day):** February 17, 2016  
**Advice Letter #:** 1107      **Review Deadline (30<sup>th</sup> Day):** February 27, 2016  
**Tier**    1    2    3     Compliance      **Requested Effective Date:** January 1, 2016  
**Authorization** D.15-04-007      **Rate Impact:** \$See AL  
See AL%  
**Description:** 2015 Interim Rate True-Up

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please see the "Response or Protest" section in the advice letter for more information.

**Utility Contact:** Nancy Hollingsworth  
**Phone:** 916-568-4209  
**Email:** nancy.hollingsworth@amwater.com

**Utility Contact:** Todd Pray  
**Phone:** 916-568-4232  
**Email:** todd.pray@amwater.com

**DWA Contact:** Tariff Unit  
**Phone:** (415) 703-1133  
**Email:** [Water.Division@cpuc.ca.gov](mailto:Water.Division@cpuc.ca.gov)

**DWA USE ONLY**

<u>DATE</u>	<u>STAFF</u>	<u>COMMENTS</u>
_____	_____	_____
_____	_____	_____

[ ] APPROVED      [ ] WITHDRAWN      [ ] REJECTED

**Signature:** \_\_\_\_\_      **Comments:** \_\_\_\_\_  
**Date:** \_\_\_\_\_



4701 Beloit Drive  
Sacramento, CA 95838  
[www.amwater.com](http://www.amwater.com)

P (916)-568-4251  
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January 27, 2016

ADVICE LETTER NO. 1107

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (Cal-Am) (U210W) hereby submits for review this advice letter including the following tariff sheets applicable to its Larkfield District which are attached hereto:

<u>C.P.U.C. Sheet No.</u>	<u>Title of Sheet</u>	<u>Canceling Sheet No.</u>
XXXX-W	Schedule No. LK-1 (continued) Larkfield District Tariff Area GENERAL METERED SERVICE	7968-W
XXXX-W	Schedule No. CA-4 California American Water PRIVATE FIRE PROTECTION SERVICE	New
XXXX-W	TABLE OF CONTENTS Page 2	8150-W
XXXX-W	TABLE OF CONTENTS Page 1	8151-W

**PURPOSE**

The purpose of this advice letter filing is to request recovery of the 2013 GRC Interim Rate Memorandum Account for the Larkfield District in connection with Cal-Am's 2013 General Rate Case ("GRC") in A.13-07-002. This request is in compliance with authorizations of the California Public Utilities Commission as detailed in the Rate Case Plan in D. 07-05-062 ("RCP") and ALJ Ruling issued on September 16, 2014 in A.13-07-002.

**BACKGROUND**

The Commission granted Cal-Am memorandum accounts to track the difference between interim rates and final rates through Advice Letter 1064, approved on January 5, 2015 with an effective date of January 1, 2015.

Cal-Am hereby submits this filing to recover the balances in the memorandum accounts for the Larkfield district.

Submission of these tariffs is made in compliance with Ordering Paragraph 4 of D.15-04-007, which states;

*4. California-American Water Company is authorized to file by Tier 1 advice letter to recover the difference between the 2015 interim and final rates from its customers. This calculation must be based on the 2015 rate tariff schedules that would have been implemented under the present rate design.*

#### **REQUEST**

Cal-Am requests the interim rate true-up total be rolled into the Consolidated Expense Balancing Account ("CEBA") as a quantity based surcharge effective February 1, 2016. The proposed 3 year amortization period is consistent with Standard Practice U-27-W.

The interim rate true-up including the Consolidated Expense Balancing Account ("CEBA") for the period January 1, 2015 through November 30, 2015, with interest, is (\$370,864).

In accordance with Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in Exhibit A.

Copies of the detailed workpapers and the documents supporting this Advice Letter have been furnished to the Commission Staff.

#### **SERVICE LIST**

Pursuant to Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in the attached service list. Copies of the detailed workpapers and the documents supporting this Advice Letter have also been furnished to the Commission Staff.

#### **EFFECTIVE DATE**

Cal-Am submits this as a Tier 1 advice letter per D.15-04-007 and requests an effective date of February 1, 2016.

#### **RESPONSE OR PROTEST**<sup>1</sup>

Anyone may submit a response or protest for this AL. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds<sup>2</sup> are:

1. The utility did not properly serve or give notice of the AL;
2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or

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<sup>1</sup> G.O. 96-B, General Rule 7.4.1

<sup>2</sup> G.O. 96-B, General Rule 7.4.2

- 6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require re-litigating a prior order of the Commission.

A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (or postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

<b>Email Address:</b> <a href="mailto:Water.Division@cpuc.ca.gov">Water.Division@cpuc.ca.gov</a>	<b>Mailing Address:</b> CA Public Utilities Commission Division of Water and Audits 505 Van Ness Avenue San Francisco, CA 94102
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On the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy by mail (or e-mail) to us, addressed to:

<b>Recipients:</b>	<b>E-Mail:</b>	<b>Mailing Address:</b>
Nancy Hollingsworth ..... <i>Financial Analyst IIB</i>	nancy.hollingsworth@amwater.com...	4701 Beloit Drive Sacramento, CA 95838 Fax: (916) 568-4260
Sarah E. Leeper..... <i>Vice President – Legal, Regulatory</i>	sarah.leeper@amwater.com .....	555 Montgomery Street, Ste. 816 San Francisco, CA 94111 Fax: (415) 863-0615
ca.rates.....	ca.rates@amwater.com .....	4701 Beloit Drive Sacramento, CA 95838 Fax: (916) 568-4260

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform the Water Division, within the 20-day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

**REPLIES**<sup>3</sup>

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<sup>3</sup> G.O. 96-B, General Rule 7.4.3

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

If you have not received a reply to your protest within 10 business days, contact this person at (916) 568-4209.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

CALIFORNIA-AMERICAN WATER COMPANY

*/s/ Nancy Hollingsworth*

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Nancy Hollingsworth  
Financial Analyst IIB

Schedule No. LK-1 (Continued)
Larkfield District Tariff Area
GENERAL METERED SERVICE

SPECIAL CONDITIONS

General Items:

- 1. Qualifying low-income customers can receive a discount on their bill. Customers must apply with the Company for acceptance into the low-income program. For additional details, please see Tariff Schedule CA-LIRA.
2. Any customer paying for service at a premise where a Residential Fire Sprinkler System (RFSS) is required/requested to be installed by local fire and building codes shall be allowed to have their monthly service charge modified in accordance with the monthly costs for RFSS service charges. Provided, however, that the RFSS rate has been requested by the customer and verified by the Company that the smaller size of meter would be large enough to provide adequate service for the property in absence of the additional demand necessary to supply water to the sprinkler system. The RFSS will not be considered a fire service by the Company, but as an oversized general metered service. As such the rules and conditions of service for general metered service shall apply.

Fees and Surcharges:

- 1. All bills are subject to the reimbursement fee set forth in Schedule No. UF.
2. A surcharge is included on each bill to collect franchise fees and/or business license fees paid to the County of Sonoma. The amount collected is based on a percentage of the gross revenues of each bill. The percentage is as follows: Larkfield Franchise Fee – 1.00%
3. Per Advice Letter 1072-B, a surcharge of \$0.0120 per 100 gallons will be applied to each bill to fund conservation efforts. The surcharge will remain in effect until otherwise directed by the Commission.
4. Per Advice Letter 1095, a surcharge of \$1.86 for the Low-Income Ratepayer Assistance Program (“LIRAP”) Balancing Account will be collected from all non-low income water and wastewater customers.
5. Water Revenue Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA) Surcharge.
a. A surcharge is included in each bill to recover the net under-collection in the Water Revenue Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA). For the period ending December 31, 2014, the net under-collection totals \$592,747 including interest. The surcharge is \$0.0696 per 100 gallons and will remain effective for 36 months beginning April 30, 2015.
6. Per Advice Letter 1107, the under-collected balance in the Larkfield District Consolidated Expense Balancing Account (CEBA) will be recovered through a quantity based surcharge of \$0.043 per 100 gallons over 36 months effective February 1, 2016. The total amount will be recovered from all classes of customers. (C)

(TO BE INSERTED BY UTILITY)
ADVICE LETTER NO. 1107

ISSUED BY
J. LINAM
NAME

(TO BE INSERTED BY C.P.U.C.)
DATE FILED

DECISION NO.

DIRECTOR – Rates & Regulatory
TITLE

EFFECTIVE
RESOLUTION

Schedule No. CA-4  
 California American Water  
PRIVATE FIRE PROTECTION SERVICE

SPECIAL CONDITIONS APPLICABLE TO DISTRICTS

Per authorized Advice Letter 1107, the balance in the following District Consolidated Expense Balancing Account (CEBA) will be recovered or refunded through a Fire Service connection based surcharge or surcredit effective February 1, 2016.

SURCHARGES

<b>Larkfield District</b>	Advice Letter	Duration	Per Month	
For each 1 1/2-inch connection	1107	36 Months	\$0.38	(N)   (N)
For each 4-inch connection	1107	36 Months	\$0.54	
For each 6-inch connection	1107	36 Months	\$0.86	
For each 8-inch connection	1107	36 Months	\$1.17	
For each 10-inch connection	1107	36 Months	\$1.50	
<b>San Diego County District</b>				
For each 4-inch connection or smaller				
For each 6-inch connection				
For each 8-inch connection				
For each 10-inch connection				
For each 12-inch connection				
<b>Ventura County District</b>				
For each 4-inch connection or smaller				
For each 6-inch connection				
For each 8-inch connection				
For each 10-inch connection				
For each 12-inch connection				
<b>Sacramento District</b>				
For each 4-inch connection or smaller				
For each 6-inch connection				
For each 8-inch connection				
For each 10-inch connection				
For each 12-inch connection				

(TO BE INSERTED BY UTILITY)  
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J. LINAM  
NAME

(TO BE INSERTED BY C.P.U.C.)  
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DECISION NO. \_\_\_\_\_

DIRECTOR – Rates & Regulatory  
 \_\_\_\_\_

RESOLUTION NO. \_\_\_\_\_

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<u>SUBJECT MATTER OF SHEET</u>		<u>C.P.U.C. SHEET NO.</u>
<u>SERVICE AREA MAP (Continued):</u>		
Sacramento		6591-W, 6592-W, 6593-W, 6594-W, 6595-W, 6596-W, 7500-W, 6598-W, 6599-W, 6600-W
San Diego County		6601-W, 6602-W
Ventura County		6603-W, 6604-W
<u>RATE SCHEDULES:</u>		
All Districts -- Low Income Ratepayer Assistance Program		
CA-LIRA	California American Water Low Income Ratepayer Assistance Program	8147-W, 8148-W, 8122-W, 8130-W, 8140-W, 8097-W, 7971-W, 7724-W, 7725-W, 7726-W, 7999-W
CA-4	California American Water Private Fire Protection Service	8149-W, 8098-W, 7739-W, XXXX-W, (C) XXXX-W, 8001-W, 8020-W, 8021-W, 7628-W, 7625-W, 7636-W, 7640-W, 7643-W, 7632-W, 7634-W
CA-4H	Private Fire Hydrant Service	8124-W, 7743-W, 7744-W, XXXX-W, 8002-W, 8022-W, 7626-W, 7641-W
Larkfield District		
LK-1	General Metered Service	8144-W, 8145-W, XXXX-W, 7995-W, (C) 8018-W, 7633-W
LK-F	Facilities Fees	7651-W
Los Angeles County District		
LA-1	General Metered Service	8134-W, 8135-W, 8136-W, 7709-W, 8137-W, 7998-W, 8016-W, 7630-W
LA-3M	Measured Irrigation Service	8138-W, 7712, 8139-W, 8000-W, 8017-W, 7631-W
Monterey County District (Monterey Main, Hidden Hills, Ryan Ranch, & Bishop Service Areas)		
MO-1	General Metered Service Residential & Multi-Residential Customers	8111-W, 7661-W, 7662-W, 7663-W, 7664-W, 8112-W, 7666-W, 7667-W, 7668-W, 7669-W, 7974-W, 7671-W, 8023-W, 7637-W
MO-1C	General Metered Service Non-Residential Customers	8113-W, 7673-W, 7674-W, 7675-W, 7676-W, 7677-W, 8114-W, 7679-W, 7680-W, 7681-W, 7975-W, 7683-W, 8024-W, 7638-W
MO-1O	General Metered Service Other Customers	8115-W, 8116-W, 7686-W, 7687-W, 8117-W, 7689-W, 7690-W, 7976-W, 7692-W, 8025-W, 7639-W

(Continued)

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RESOLUTION \_\_\_\_\_



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The following listed tariff sheets contain all effective rates and rules affecting the charges and services of the Utility, together with other pertinent information:

<u>SUBJECT MATTER OF SHEET</u>	<u>C.P.U.C. SHEET NO.</u>
TITLE PAGE	7052-W
TABLE OF CONTENTS	XXXX-W, XXXX-W, 8132-W, 7966-W, 8075-W, 8068-W, 8069-W, 8070-W, 8071-W (C)
PRELIMINARY STATEMENTS	7828-W, 8078-W, 8003-W, 7748-W, 7749-W, 7750-W, 7751-W, 7752-W, 7753-W, 7754-W, 7755-W, 7756-W, 7757-W, 8079-W, 7759-W, 7830-W, 7831-W, 7831-W, 7833-W, 7834-W, 7835-W, 7836-W, 7837-W, 7838-W, 7769-W, 7770-W, 7771-W, 7772-W, 7773-W, 7774-W, 7775-W, 7776-W, 7777-W, 7778-W, 7779-W, 7780-W, 7781-W, 7782-W, 7783-W, 7784-W, 7785-W, 7786-W, 7787-W, 7788-W, 7789-W, 7790-W, 7791-W, 7792-W, 7793-W, 7794-W, 7795-W, 7796-W, 7797-W, 7798-W, 7799-W, 7800-W, 7801-W, 7802-W, 7803-W, 7804-W, 7806-W, 8080-W, 8081-W, 8082-W
<b><u>SERVICE AREA MAP:</u></b> California-American Water Company	5470-W
Larkfield	6569-W
Los Angeles County Baldwin Hills Duarte San Marino	6570-W 6571-W, 6572-W, 6578-W 6573-W, 6574-W, 6575-W, 6576-W, 6577-W
Monterey County	7053-W, 6580-W, 6581-W, 6582-W, 6583-W, 6584-W, 6585-W, 6586-W, 6587-W, 6588-W, 6589-W, 6590-W, 944 -W, 945 -W, 947 -W, 948 -W, 949 -W, 950 -W, 951 -W, 952 -W, 953 -W, 954 -W, 955 -W, 957 -W, 958 -W, 959 -W, 960 -W, 961 -W, 962-W, 963 -W, 964 -W, 966 -W, 967 -W, 968 -W, 969 -W, 971 -W, 972 -W, 973 -W, 974 -W, 975 -W, 976 -W, 977 -W, 978 -W, 979 -W, 980 -W, 981 -W, 982 -W, 983 -W, 984 -W, 7054-W

(Continued)

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DECISION NO. \_\_\_\_\_

DIRECTOR – Rates & Regulatory  
TITLE

EFFECTIVE \_\_\_\_\_  
RESOLUTION \_\_\_\_\_

**LARKFIELD DISTRICT SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**  
**ADVICE LETTER 1107**

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**A.13-07-002 SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**  
**ADVICE LETTER 1107**

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**A.13-07-002 SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**  
**ADVICE LETTER 1107**

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**A.13-07-002 SERVICE LIST**  
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